1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

~ For DSG and IRB use only ~

	Action Approved	Date
IRB		

~ This section to be completed by the Business Sponsor ~

I.	**TO 47** - **Capability Maturity Model Implementation**	**Date Submitted:** September, 2001	

II. Contact Information

	Name	Service Area
Project Sponsor	Steve Hawald	OCIO
Project Lead	Connie Davis	OCIO
Mod Ptr Project Lead	J. Ronald Langkamp	Modernization Partner
COTR	Carol Seifert	OCIO

III. Schedule

Expected Start Date: January 2001

Anticipated Finish Date: October 2001

IV. Milestones *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
47.1.1	Project Management Support and SDLC Maintenance	10/15/01	
47.1.2	Rollout Process Improvement Program	6/15/01	6/15/01
47.1.3	Develop and Deploy Enterprise Configuration Management Processes	6/22/01	6/22/01
47.1.4	Review, Pilot, Refine and Approve CMM KPA – Software Quality Assurance	9/14/01	9/14/01
47.1.5	Develop, Review, Pilot, Refine and Approve CMM KPA – System Acquisition Planning	6/28/01	6/28/01
47.1.6	Develop, Review, Pilot, Refine and Approve CMM KPA – System Acquisition Project Management	8/24/01	8/24/01
47.1.7	Develop, Review, Pilot, Refine and Approve CMM KPA – Requirements Development and Management	8/10/01	8/10/01
47.1.8	Develop, Review, Pilot, Refine and Approve CMM KPA – Transition to Support	9/26/01	
47.1.9	Deploy CMM KPA - System Acquisition Planning Processes	8/31/01	8/31/01
47.1.10	Deploy CMM KPA - Requirements Development and Management Processes	9/21/01	

V. Issues and Impacts

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

~ For DSG and IRB use only ~

	Action Approved	Date
IRB		

~ This section to be completed by the Business Sponsor ~

I. TO 63 - Consistent Data		Date Submitted: September, 2001
II. Contact Information		
	Name	Service Area
Project Sponsor	Denise Hill	
Project Lead	Kathryn Pirnia	
Mod Ptr Project Lead	Shyam Pai	Modernization Partner
COTR	Carol Seifert	OCIO

III.   Schedule
Expected Start Date: July 12, 2001
Anticipated Finish Date: January 25, 2002

IV.   Milestones *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
1	Legacy Data Elements	9/24/01	
2	Define Standard Data Meanings	10/23/01	
3	Establish Data Custodians	10/23/01	
4	Develop Consistent Data Roadmap	12/18/01	
5	Publish/Communicate Standards & Roadmap	12/29/01	

V.   Issues and Impacts

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested
No Issues Identified		

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested
No Issues Identified		

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

~ For DSG and IRB use only ~

	Action Approved	Date
IRB		

~ This section to be completed by the Business Sponsor ~

I.	**TO 54** - EAI Implementation	**Date Submitted:** September, 2001	
II.	**Contact Information**		
	Name	Service Area	
Project Sponsor	Steve Hawald	CIO	
Project Lead	Denise Hill/Ganesh Reddy	CIO	
Mod Ptr Project Lead	Paul J. Peck	Modernization Partner	
COTR	Carol Seifert	OCIO	

III.	**Schedule**
	Expected Start Date: January 1, 2001
	Anticipated Finish Date: September 30, 2001

IV. **Milestones** *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
Phase I			
54.1.1	EAI Readiness Report	7/11/01	7/11/01
54.1.2	EAI Core Services Questionnaire Template	8/17/01	8/17/01
54.1.3	EAI Technical Specification (Release 1)	7/27/01	7/27/01
54.1.4	EAI Application Enablement Guide	7/13/01	7/13/01
54.1.5	EAI Architecture Build and Test Report (Release 1)	8/3/01	8/3/01
Phase II			
54.1.6	EAI Technical Specification (Release 2)	9/28/01	
54.1.7	EAI Application Enablement Guide (Release 2)	9/28/01	
54.1.8	EAI Architecture Build and Test Report (Release 2)	9/28/01	

V.	**Issues and Impacts**

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested
Selected FMS Business functionality needed to validate real-time adapter may not be built in time to meet R2.0 schedule. The resources are not available to help build the functionality within FMS.	This could affect the schedule for release 2.0.	The FMS development lead will be suggesting an alternative to validating the adapter.

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

~ For DSG and IRB use only ~

	Action Approved	Date
IRB		

~ This section to be completed by the Business Sponsor ~

I. TO 70 - eCampus Based Systems	Date Submitted: September, 2001

II. Contact Information		
	Name	Service Area
Project Sponsor	Kay Jacks	Schools Channel
Project Lead	Milton Thomas	Schools Channel
Mod Ptr Project Lead	Kerry Trahan	Modernization Partner
COTR	Carol Seifert	OCIO

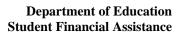| III.   Schedule |
|---|
| Expected Start Date: March 2001 |
| Anticipated Finish Date: November 30, 2001 |

IV.   Milestones *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
70.1.1	Project Schedule and Resource Assignments	7/2/01	7/2/01
70.1.2	Detail Design Document – Iteration I	7/2/01	7/2/01
70.1.3	Detail Design Document – Iteration II	7/2/01	7/2/01
70.1.4	Detail Design Document – Iteration III	7/13/01	7/13/01
70.1.5	Detail Design Document – Iteration IV	9/17/01	9/18/01
70.1.6	Detail Design Document – Iteration V (Operational)	11/15/01	
70.1.7	Test Plan for FISAP Web Pages and Database Components	7/2/01	7/2/01
70.1.8	Test Plan for Admin, Batch and Database Components (Optional)	10/15/01	
70.1.9	Developed, Tested and Accepted Solution including source, object, and execution code for FISAP Web Pages	9/17/01	9/17/01
70.1.10	Developed, Tested and Accepted Solution including source, object, and execution code for Admin, Batch, and Database Components (Optional)	12/27/01	
70.1.11	Testing Analysis Report for FISAP Web Pages	9/17/01	9/19/01
70.1.12	Testing Analysis Report for Admin, Batch, and Database Components (Optional)	12/27/01	

V.   Issues and Impacts
Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested/Action Taken
No Issues Identified		

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

~ For DSG and IRB use only ~

	Action Approved	Date
IRB		

~ This section to be completed by the Business Sponsor ~

I.	TO 73 - FFEL Lender Payment Redesign		Date Submitted: September, 2001
II.	**Contact Information**		
	Name	Service Area	
Project Sponsor	John Reeves	Financial Partners	
Project Lead	Frank Ramos/Angela Roca-Baker	Financial Partners	
Mod Ptr Project Lead	Reggie Ewing	Modernization Partner	
COTR	Carol Seifert	OCIO	

III.	**Schedule**
	Expected Start Date: May, 2001
	Anticipated Finish Date: April, 2002

IV.   Milestones *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
73.1.1	Lender Payment Process Design	8/3/01	8/3/01
73.1.2	Lender Payment Process Development Sign-off	10/5/01	
73.1.3	Lender Payment Process Testing Acceptance	12/15/01	
73.1.4	Lender Payment Process Production Readiness Review	2/28/02	
73.1.5	Lender Payment Process Deployment Acceptance	4/5/02	

V.   Issues and Impacts

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested
No Issues Identified		

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

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~ For DSG and IRB use only ~

	Action Approved	Date
IRB		

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~ This section to be completed by the Business Sponsor ~

I.	TO 45 - FMS Phase III		Date Submitted: September, 2001
II.	**Contact Information**		
	Name	Service Area	
Project Sponsor	James Lynch, Linda Paulsen	CFO	
Project Lead	Paul Stonner	CFO	
Mod Ptr Project Lead	Todd Elliott	Modernization Partner	
COTR	Carol Seifert	OCIO	

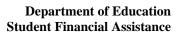| **III.**	**Schedule**
Expected Start Date: November 1, 2000	
Anticipated Finish Date: October 31, 2001	

IV.   Milestones *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
45.1.1	FMS Phase III Project Work Plan (Summary Level)	4/6/01	4/6/01
45.1.2	FMS Phase III Project Work Plan (Detailed Level)	4/6/01	4/6/01
45.1.3	FMS Phase III Project Plan	4/6/01	4/6/01
45.1.4	Release 3.1 – Test Plan	4/6/01	4/6/01
45.1.5	Release 3.1 – Production Readiness Review	4/6/01	4/6/01
45.1.6	Release 3.2 – Test Plan	5/15/01	5/15/01
45.1.7	Release 3.2 – Production Readiness Review	6/1/01	6/1/01
45.1.8	Release 3.3 – Test Plan	7/2/01	7/2/01
45.1.9	Release 3.3 – Production Readiness Review	8/1/01	8/1/01
45.1.10	FMS Phase III Transition Plan	5/17/01	4/16/01
45.1.11	FMS Phase III Transition Report	10/15/01	
45.1.12	FMS Phase III Training Plan	4/16/01	4/16/01
45.1.13	FMS Phase III Training Support Materials	9/13/01	9/13/01
45.1.14	FMS Phase III Technical Architecture Design	4/6/01	4/6/01

V.	**Issues and Impacts**

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested/Action Taken

Confirmation that the Department's request to delay FMSS and GAPS go-live, and SFA's request to stay the course with an Oct. 1st go-live date are approved.	This could delay the live date for FMS.	Discussions with CFO and OCFO daily to monitor the situation.

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

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~ For DSG and IRB use only ~

	Action Approved	Date
IRB		

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~ This section to be completed by the Business Sponsor ~

I. TO 62 - HR Modernization	**Date Submitted:** September, 2001

II. Contact Information		
	Name	Service Area
Project Sponsor	Calvin Thomas	Human Resources
Project Lead	Calvin Thomas	Human Resources
Mod Ptr Project Lead	Scott Bone	Modernization Partner
COTR	Carol Seifert	OCIO

III.   Schedule

Expected Start Date: January 16, 2001

Anticipated Finish Date: November 29, 2001 (Phase I)

IV.   Milestones *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
62.1.1	Concept of Operations	7/3/01	7/3/01
62.1.2	High Priority "As-Is" Process Flows	6/21/01	6/21/01
62.1.3	Expansion "As-Is" Process Flows	6/21/01	6/21/01
62.1.4	Development of the Performance Development Process (PDP)	7/19/01	7/19/01
62.1.5	Performance Management "To-Be" Process Flows	8/9/01	8/9/01
62.1.6	Testing Model	8/9/01	8/9/01
62.1.7	Perform.com Training Curriculum	9/24/01	
62.1.8	SFA Trainers Trained	11/15/01	
62.1.9	Configuration and Deployment of Perform.com	10/25/01	
62.1.10	Planning for Phase II Automation	12/13/01	

V.   Issues and Impacts

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested/Action Taken
No Issues Identified		

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

~ For DSG and IRB use only ~

	Action Approved	Date
IRB		

~ This section to be completed by the Business Sponsor ~

I.	TO 46 - Tech Arch Support ITA R2		Date Submitted: September, 2001	
II.	**Contact Information**			
		Name	Service Area	
Project Sponsor		Steve Haward	CIO	
Project Lead		Ganesh Reddy	CIO	
Mod Ptr Project Lead		Alex LeFur	Modernization Partner	
COTR		Carol Seifert	OCIO	

III.	**Schedule**
Expected Start Date: December 15, 2000	
Anticipated Finish Date: October 1, 2001	

IV. Milestones *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
46.1.1	ITA Release 1.0 Strategic Assessment	5/7/01	5/7/01
46.1.2	ITA Release 2.0 Requirements Trace-ability Matrix	5/7/01	5/7/01
46.1.3	ITA Release 2.0 Technical Specification	7/13/01	7/13/01
46.1.4	ITA Release 2.0 Build and Test Report	9/30/01	
46.1.5	ITA Best Practices Guide	9/30/01	
46.1.6	Technical Architecture Services Report – 1Q01	5/7/01	5/7/01
46.1.7	Technical Architecture Services Report – 2Q01	6/30/01	7/3/01
46.1.8	Technical Architecture Services Report – 3Q01	9/30/01	

V. Issues and Impacts

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested
There is insufficient DASD at the environments at the VDC.	A lack of DASD could cause a potential delay of the FAFSA Performance Test environment and CBS go-live.	Work together with management to resolve this issue.

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

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~ *For DSG and IRB use only* ~

	Action Approved	Date
IRB		

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~ *This section to be completed by the Business Sponsor* ~

I.	**TO 38** - **Modernization Partner PMO**	**Date Submitted:** September, 2001

II.	**Contact Information**	
	Name	Service Area
Project Sponsor	Steve Hawald	CIO
Project Lead	Carol Seifert	CIO
Mod Ptr Project Lead	Elisabeth Schmidt	Modernization Partner
COTR	Carol Seifert	OCIO

III.	**Schedule**
	Expected Start Date: September 1, 2000
	Anticipated Finish Date: November 30, 2000

IV.	**Milestones** *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
38.1.1a	First Draft of Modernization Blueprint Update	9/29/2000	9/29/00
38.1.1b	Second Draft of Modernization Blueprint Update	10/20/2000	10/27/2000
38.1.2a-c	Modernization Partner Procurement Plan	10th of each month	10/12/2000 11/10/2000
38.1.2ai-ci	Incentive Fee	10th of each month	10/13/2000 11/10/2000
38.1.3a-c	Program Management Services Summary	10th of each month	10/10/2000 11/10/2000
38.1.4a-c	Program Management Services Achievement Report	10th of each month	11/29/2000 11/29/2000

V.	**Schedule**
	Expected Start Date: December 1, 2000
	Anticipated Finish Date: May 31, 2001

VI.	**Milestones** *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
38.1.1c	Final Modernization Blueprint Update	2/16/01	2/16/01
38.1.2c	Modernization Partner Procurement Plan	10th of each month	12/10/2000
38.1.2ci	Incentive Fee	10th of each month	12/10/2000

38.1.3c	Program Management Services Summary	10th of each month	12/11/2000
38.1.4c	Program Management Services Achievement Report	10th of each month	12/11/2000
38.1.4ci	Incentive Fee	12/10/00	12/21/2000
38.2.2a-f	Modernization Partner Procurement Plan	10th of each month	1/10/2001 2/10/2001 3/10/2001 4/10/2001 5/10/2001
38.2.2ai-fi	Incentive Fee	10th of each month	1/10/2001 2/10/2001 3/10/2001 4/10/2001 5/10/2001
38.2.3a-f	Program Management Services Summary	10th of each month	1/10/2001 2/9/2001 3/12/2001 4/10/2001 5/10/2001
38.2.4a-f	Program Management Services Achievement Report	10th of each month	2/12/2001 2/12/2001 3/9/2001 4/10/2001 5/10/2001
38.2.4fi	Incentive Fee	6/10/01	

V.	**Issues and Impacts**

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested/Action Taken
No Issues Identified		

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

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~ For DSG and IRB use only ~

	Action Approved	Date
IRB		

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~ This section to be completed by the Business Sponsor ~

I.	TO 59 - Security Policy Administration	**Date Submitted:** September, 2001

II.	Contact Information	
	Name	Service Area
Project Sponsor	Steve Hawald	CIO
Project Lead	Andy Boots	CIO
Mod Ptr Project Lead	Yateesh Katyal/Mike Gibbons	Modernization Partner
COTR	Carol Seifert	OCIO

III.	Schedule
	Expected Start Date: May, 2001
	Anticipated Finish Date: September 30, 2001

IV.   Milestones *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
59.1.1	Single Sign-on Requirements Definition and Approach Report	8/31/01	8/31/01
59.1.2a	Bimonthly Security and Privacy Program Support Report – 7/31/01	7/31/01	7/31/01
59.1.2b	Bimonthly Security and Privacy Program Support Report – 9/28/01	9/28/01	
59.1.3a	Bimonthly Modernization Security Integration Report – 7/31/01	7/31/01	7/31/01
59.1.3b	Bimonthly Modernization Security Integration Report – 9/28/01	9/28/01	

V.   Issues and Impacts

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested
No Issues Identified		

1. Investment Control Worksheet

The purpose of this worksheet is to keep management informed on the progress of IT initiatives and provide a mechanism for business sponsors to bring issues regarding the IT initiative to management's attention.

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~ *For DSG and IRB use only* ~

	Action Approved	Date
IRB		

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~ *This section to be completed by the Business Sponsor* ~

I. **TO 74** - **VFA Operational Support**	**Date Submitted:** September, 2001	
II. **Contact Information**		
	Name	Service Area
Project Sponsor	John Reeves/Johan Bos-Beijer	Financial Partners
Project Lead	Cameron Ishaq	Financial Partners
Mod Ptr Project Lead	Jim Menard	Modernization Partner
COTR	Carol Seifert	OCIO

III. **Schedule**

Expected Start Date: January 18, 2001

Anticipated Finish Date: September 30, 2001

IV. **Milestones** *(not applicable for operational initiatives)*

List the milestone and estimated date (as indicated on the IT Initiative Funding Request) as well as the actual date for the milestone.

#	Milestone	Planned Date	Actual Date
74.1.1	VFA Operational Support Design	7/2/01	7/6/01
74.1.2	VFA Operational Support Development and Testing Sign-off	8/24/01	8/24/01
74.1.3	VFA Operational Support Production Readiness	10/5/01	

V. **Issues and Impacts**

Provide a description of key issues and the potential impact the issue may have on costs, schedule and/or benefits realization. Also provide the DSG action requested.

Issue Identified	Potential Impact on Cost/Schedule/Benefits	Management Action Requested
No Issues Identified		